

Information:

Drawer: Accounts Payable - Invoices

Vendor Number: 1386852

Vendor Name: NAVEX Global, Inc.

Invoice Number: INV-169005

Invoice Date: 04/16/18

PO Number: P0357398

Check Number: E0066690

Check Amount: \$ 3,197.25

Check Date: 04/25/2018

Department ID: 00782

Reviewer Name:

Voucher Number: V0509843

Redaction Type: None

Document Type: AP Invoice-3 Way/Pre-Approved

Document Below

From: martner@cod.edu
Sent: Fri Apr 20 07:57:12 CDT 2018
To: invoicing@cod.edu
CC:
Subject: NAVEX Global PO#357398

Attached is the invoice for NAVEX Global Ethics Hotline Services for 2018-2019.

Jim Martner
Director of Internal Audit
College of DuPage
425 Fawell Blvd.
Glen Ellyn, IL 60137
martner@cod.edu
630-942-2543

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formerly The Network, Inc. | EthicsPoint, Inc. | Global Compliance, Inc. | PolicyTech, Inc. | ELT, Inc.

Federal Tax ID # 03-0513136
5500 Meadows Road, Suite 500
Lake Oswego, OR 97035

INVOICE

Invoice #: INV-169005
Invoice Date: 04/16/2018
Renewal Date: 05/21/2018
Due Date: 05/21/2018
Terms: Due upon renewal

Bill To
College of DuPage
Jim Martner
425 Fawell Blvd
Glen Ellyn, IL 60137-6599
United States

AP VERIFIED
04/24/18 - BETHANY CRUSE

Reference #: College of DuPage - HL - USD May 2018
Service Term: 5/21/2018 - 5/20/2019

Description	Quantity	Unit Price	Amount
EP Incident Management - Foundation Subscription	1	\$0.00	\$0.00
Hotline - Per Report Subscription	50	\$63.95	\$3,197.25
Standard Global Telephony (US Contact Center) Subscription	1	\$0.00	\$0.00
SUBTOTAL			\$3,197.25
Sales Tax			\$0.00
Total			\$3,197.25
Payments/ Credits			\$0.00
BALANCE DUE			\$3,197.25


Please remit payment using the following instructions:

Wire/ACH Instructions

NAVEX Global, Inc.
Wells Fargo Bank
Account: 2000014800317
Routing: 121000248
SWIFT: WFBUS6S
CHIPS: 0407

Mail Payments To

NAVEX Global, Inc.
PO Box 60941
Charlotte, NC 28260-0941
(971) 250-4100

OK TO PAY
P.O. # 357398
CHARGE 01-80-00782-5309001


Thank you for your business!

If you have any questions, please contact our Accounts Receivable department at ar@navexglobal.com or by phone at +1-866-297-0224 option 2. Interest will accrue at the contracted rate on past due invoices.

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line, do not leave this line blank. NAVEX Global, Inc.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶	
	4 Exemptions (codes apply only to certain entities, not individuals, see instructions on page 3). Exempt payee code (if any) 5 Exemption from FATCA reporting code (if any) not applicable <small>(Applies to accounts maintained outside the U.S.)</small>	
	5 Address (number, street, and apt. or suite no.) 5500 Meadows Road, Suite 500	Requester's name and address (optional)
	6 City, state, and ZIP code Lake Oswego, OR 97035 USA	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

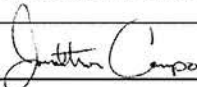
Social security number								
			-			-		
or								
Employer identification number								
0	3	-	0	5	1	3	1	3
								6

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ 

Date ▶ **January 1, 2017**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Vendor Information Sheet

NAVEX GLOBAL
The Ethics and Compliance Experts

General Information

NAVEX Global, Inc.
5500 Meadows Rd Suite 500
Lake Oswego, OR 97035-3177
Clackamas County

866-297-0224
Fax: 971-250-4125
ar@navexglobal.com
www.navexglobal.com

Place of Incorporation: Delaware
Number of employees: 1000
Business Size / Classification:
Large Business Concern
Year established: 1999
Year incorporated: 2000
Lake Oswego location since: June 2009

NAVEX Global, Inc.

P.O. Box 60941
Charlotte, NC 28260-0941
Mecklenburg County

Kimberly S. Faus
Accounting Manager
866-297-0224 option 2, option 2
Fax: 971-250-4125
(A/R)

NOT Minority owned

NOT tax exempt

NOT SBA certified

NOT in the HUB Zone

NOT (women owned business, disabled veteran, Vietnam veteran, non-profit organization)

NOT within a three year period preceding this offer, been convicted of or had a civil judgment etc.

NOT presently indicated for or otherwise criminally or civilly charged by a government entity etc.

NOT as of the time award, debarred or suspended by the federal government from government contracting or subcontracting.

NOT presently debarred, suspended, proposed for debarment or declared ineligible for the award of contracts by any federal agency.

NOT Disadvantaged

NOT 8(A) certified

NOT Joint venture

NOT a certified small disadvantaged business concern

Banking Information

Wells Fargo Bank
420 Montgomery Street
San Francisco, CA 94104
1-800-869-3557
San Francisco Pcs Branch

ABA / Routing / Transit: 121000248
(Checking) Account Number: 2000014800317
Swift Code / IBAN: WFBUS6S

Federal Tax ID (FEIN) (EIN) / TIN #: 03-0513136

D&B D-U-N-S#: 13-518-5606

NAICS: 511210

CAGE: 4NWA5

SIC (Type of Business/Product): 4899

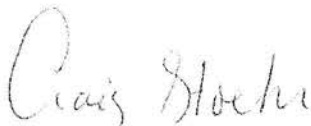
California corporation number: C2743833

Wells Fargo Account Manager:

Art Avita

art.avita@wellsfargo.com

503-586-2527



Craig Stoehr, CFO



Andrew Bates, VP of Finance

Payment Remittance Information

Please remit payment (in US dollars) according to the following banking information. Reference your invoice number on all payments to ensure proper credit to your customer account.

Printed Check

<i>Via Standard Mail</i>	NAVEX Global, Inc. PO Box 60941 Charlotte, NC 28260-0941
<i>Via Courier (FedEx, UPS, etc.)</i>	Wells Fargo Lockbox # 60941 NAVEX Global, Inc. 1525 West WT Harris Blvd BLDF. 2C2, MAC-D1113-020 Charlotte, NC 28262 United States of America

Domestic Electronic Wire / ACH Transfer

<i>Pay To</i>	Wells Fargo Bank 420 Montgomery Street San Francisco, CA 94104
<i>Bank Routing No.</i>	121000248
<i>For Credit of</i>	NAVEX Global, Inc. 5500 Meadows Road, Suite 500 Lake Oswego, OR 97035
<i>Credit Account No.</i>	2000014800317

International Electronic Wire / ACH Transfer

<i>Pay To</i>	Wells Fargo Bank 420 Montgomery Street San Francisco, CA 94104
<i>Routing & Transit</i>	121000248
<i>SWIFT Code</i>	WFBUS6S
<i>CHIPS Code</i>	0407
<i>For Credit of:</i>	NAVEX Global, Inc. 5500 Meadows Road, Suite 500 Lake Oswego, OR 97035
<i>Credit Account No.</i>	2000014800317

If you have any questions, please contact NAVEX Global, Inc. Accounts Receivable at AR@navexglobal.com or +1 (866) 297-0224.